

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Salvatore Albani**

Serial No.: To Be Assigned

Filed: January 9, 2001

For:  
METHODS FOR ISOLATION, QUANTIFICATION,  
QUANTIFICATION, CHARACTERIZATION, AND  
MODULATION OF ANTIGEN SPECIFIC T CELLS

) Group Art Unit: To Be Assigned

) Examiner: To Be Assigned

Jc872 U.S. PTO  
09/756983  
01/09/01

TRANSMITTAL LETTER

BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Sir:

Transmitted herewith for filing in the above-referenced application are the following:

Information Disclosure Statement;

Form 1449 – Information Disclosure Statement (pages 1-6); and

Supplemental Form 1449 – Information Disclosure Statement (Page 1-2);

I do not believe a fee is due with the filing of these papers, if there is an additional fee due, please charge or credit our Deposit Account No. 50-1273 for Docket No. 031544.0004.CIP.

Respectfully submitted,

Dated:

1/9/01

By:

Douglas C. Murdock  
Douglas C. Murdock  
Reg. No. 37,549

BROBECK, PHLEGER & HARRISON LLP

12390 El Camino Real

San Diego, CA 92130

Direct Telephone: (858) 720-2720, Fax: (858) 720-2555

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as "Express Mail Post Office to Addressee" in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL573388695 US  
Express Mail Label No.

JANUARY 9, 2001  
Date of Deposit

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IRENE GRIMES BETKE  
Name of Person Mailing Paper

Irene Grimes Betke  
Signature of Person Mailing Paper

01-10-01

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Patent  
031544.0004.CIP

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.:

031544.0004.CIP

First Named Inventor: SALVATORE ALBANI

Prior Application Information:

Serial No. 09/421,506

Examiner: TBA

Art Unit: TBA

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

## FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☐ continuation☐ divisional☒ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No. 09/421,506 filed on October 19, 1999, by:

SALVATORE ALBANI

entitled:

**METHODS FOR ISOLATION, QUANTIFICATION, CHARACTERIZATION AND MODULATION  
OF ANTIGEN-SPECIFIC T CELLS**

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**I. APPLICATION ELEMENTS ENCLOSED**CERTIFICATE OF MAILING  
(37 C.F.R. § 1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Express Mail Label No.

JANUARY 9, 2001

Date of Deposit

IRENE GRIMES BETKE

Name of Person Mailing Paper

Signature of Person Mailing Paper

jc872 U.S. PTO  
09/756983  
01/09/01JC976 U.S. PTO  
01/09/01

09/756983-010901

128 Page(s) of Written Description  
3 Page(s) of Claims – See **Preliminary Amendment**  
1 Page(s) of Abstract  
38 Sheet(s) of Drawings ☐ formal ☒ informal  
3 Page(s) of ☐ Declaration or ☒ Declaration and Power of Attorney  
☒ Copy from prior application [37 CFR § 1.63(d)]

☒ Newly executed **Appointment of Associate Attorney.**

Other:

- ☐ Assignment papers (cover sheet and document(s))
- ☒ An Information Disclosure Statement, PTO 1449, ☐ with copies of cited items.  
**Copies are available in prior related application.**
- ☐ A Verified Statement to establish small entity under 37 CFR §§ 1.9 and 1.27: ☐ Is attached. ☐ Has been filed in the prior application and such status is still proper and desired. [37 CFR § 1.28(a)] **NO LONGER REQUIRED BY NEW PATENT RULES**
- ☒ Sequence Listing, Diskette, and Statement under 37 C.F.R. § 1.821(f)
- ☐ and check in the amount of **\$515.00** which is \$475.00 for payment of Application and \$40.00 for Recordation of Assignment.

## II. FEE CALCULATION

<b>BASIC FILING FEE:</b>		\$690.00
Total Claims	11 - 20 = 0 x \$18.00	\$0.00
Independent Claims	2 - 3 = 0 x \$78.00	\$0.00
Multiple Dependent Claims	\$260 (if applicable) <input checked="" type="checkbox"/>	\$260.00
<b>TOTAL OF ABOVE CALCULATIONS</b>		\$950.00
Reduction by 1/2 for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached. <input type="checkbox"/>		\$475.00
Misc. Filing Fees (Recordation of Assignment)		\$0.00
<b>TOTAL FEES DUE HEREWITH</b>		\$475.00

## III. PRIORITY – 35 USC § 119

- ☐ Priority of Application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in Country is claimed under 35 USC
- ☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.

☐ The certified copy will follow.

#### IV. AMENDMENTS

- ☐ Cancel in this application original Claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

#### V. RELATE BACK - 35 USC § 120

- ☐ Relate back information included in preliminary amendment or specification.
- ☐ Please amend the specification as follows:
- ☒ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:
- ☒ the same
- ☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted [see 37 CFR §§ 1.33 (b) AND 1.63(d)(2)]:

[Name(s) of inventor(s) to be deleted]

#### VI. FEE PAYMENT BEING MADE AT THIS TIME

☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]

☒ Attached.

- |                                     |   |          |
|-------------------------------------|---|----------|
| <input checked="" type="checkbox"/> | Filing fees.  | \$475.00 |
| <input type="checkbox"/>            | Recording assignment. [\$40.00 37 CFR § 1.21 (h)(1)]  | \$ 0.00  |
| <input type="checkbox"/>            | Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. [\$130.00; 37 CFR §§ 1.47 and 1.17(h)] | _____    |
| <input type="checkbox"/>            | Petition fee to Suspend Prosecution for the Time Necessary to File an Amendment (New Application Filed Concurrently.) [\$130.00; 37 CFR §§ 1.103 and 1.17(i)]                           | _____    |
| <input type="checkbox"/>            | For processing an application with a specification in a non-English language. [\$130.00; 37 CFR §§ 1.52[d] and 1.17(k)]   | _____    |
| <input type="checkbox"/>            | Processing and retention fee. [\$130.00; 37 CFR §§ 1.53(f) and 1.21(l)]   | _____    |

**Total Fees Enclosed \$475.00**

09756983-010901

**VII. METHOD OF PAYMENT OF FEES**

- ☒ Attached is a check in the amount of \$475.00.  
☐ Charge Brobeck, Phleger & Harrison's Deposit Account No. 50-1273 in the amount of \$\_\_\_\_.00 for Docket No.: \_\_\_\_.

**VIII. AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to credit Brobeck, Phleger & Harrison's Deposit Account No. 501273 for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 501273 for Docket No.: 031544.0004.CIP.

- ☒ 37 CFR § 1.16 (Filing Fees and excess claims fees)  
☒ 37 CFR § 1.17 (Application processing fees)  
☒ 37 CFR § 1.18 (Issue fee at or before mailing of Notice Allowance, pursuant to 37 CFR § 1.311(b))  
☒ 37 CFR § 1.21 (Assignment recordation fees)

**IX. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS**

- ☐ The power appears in the original papers in the prior application.  
☐ The power does not appear in the original papers, but was filed on \_\_\_\_\_ in prior application Serial No. \_\_\_\_\_.  
☒ A new power has been executed.

Please send all correspondence to **Douglas C. Murdock**:

BROBECK, PHLEGER & HARRISON LLP  
12390 El Camino Real  
San Diego, CA 92130



**23865**

PATENT TRADEMARK OFFICE

Please direct all inquiries to Attorney, at Telephone #: (858) 720-2500 or Fax #: (858) 720-2555.

**X. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION**

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until \_\_\_\_\_. A copy of the petition for extension of time in the **prior** application is attached.  
☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

**XI. ABANDONMENT OF PRIOR APPLICATION**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Respectfully submitted,

BROBECK, PHLEGER & HARRISON LLP

Dated: 1/9/07

By: 

Douglas C. Murdock  
Reg. No. 37,549

Enclosures

\_\_\_\_\_  
Name of Assignee

\_\_\_\_\_  
Address of Assignee

\_\_\_\_\_  
Title of person authorized to sign on behalf of assignee

Assignment recorded in PTO on \_\_\_\_\_, 200\_\_, Reel \_\_\_\_\_, Frame \_\_\_\_\_.